

Form No.MGT-9
EXTRACT OF ANNUAL RETURN

As on the financial year ended on 31.03.2020

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

- (i) CIN : **U24111KA1994PTC015194**
- (ii) Registration Date : 07.02.1994
- (iii) Name of the Company: **Novo Nordisk India Private Limited**
- (iv) Category / Sub-Category of the Company: **Company Limited by Shares/Indian Non-Government Company**
- (v) Address of the Registered office and contact details: Plot No. 32, 47-50, EPIP Area, Whitefield, Bangalore – 560 066 Karnataka
- (vi) Whether listed company : **No**
- (vii) Name, Address and Contact details of Registrar and Transfer Agent, if any: **NA**

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY:

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

Sl. No	Name and Description of main products / services	NIC Code of the Product/ service	% to total turnover of the Company
1	Sales and marketing unit for diabetes care, Haemostasis management and growth hormone therapy products	204	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES :

Sl. No	Name and address of the company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
01	Novo Investment PTE limited		Holding Company	99.99	2(46)

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i) Category-wise Share Holding:

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% of Change during the Year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
(A) Promoters									
(1) Indian									
(a) Individual	-				-	-	-	-	-
(b) Central Govt	-	-	-	-	-	-	-	-	-
(c) State Govt (s)	-	-	-	-	-	-	-	-	-
(d) Bodies Corp.	-								
(e) Banks / FI	-	-	-	-	-	-	-	-	-
(f) Any Other....	-	-	-	-	-	-	-	-	-
Sub-Total (A) (1)									-
(2) Foreign									
(a) NRIs - Individuals	-	-	-	-	-	-	-	-	-
(b) Other - Individuals	-	-	-	-	-	-	-	-	-
(c) Bodies Corp.	-	26500000	26500000	100	-	26500000	26500000	100	-
(d) Banks / FI	-	-	-	-	-	-	-	-	-
(e) Any Other....	-	-	-	-	-	-	-	-	-
Sub-Total (A) (2)	-	26500000	26500000	100	-	26500000	26500000	100	-
Total Shareholding of Promoter (A) = (A) (1) + (A) (2)	-								-

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Of Change during the Year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
(B) Public Shareholding									
(1) Institutions									
(a) Mutual Funds	-	-	-	-	-	-	-	-	-
(b) Banks/FI	-	-	-	-	-	-	-	-	-
(c) Central Govt	-	-	-	-	-	-	-	-	-
(d) State Govt (s)	-	-	-	-	-	-	-	-	-
(e) Venture Capital funds	-	-	-	-	-	-	-	-	-
(f) Insurance Companies	-	-	-	-	-	-	-	-	-
(g) FIIs	-	-	-	-	-	-	-	-	-
(h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
(i) Others (Specify)	-	-	-	-	-	-	-	-	-
Sub-Total (B)(1)	-	-	-	-	-	-	-	-	-
(2) Non-Institutions									
(a) Bodies Corp									
i. Indian	-	-	-	-	-	-	-	-	-
ii. Overseas	-	-	-	-	-	-	-	-	-
(b) Individuals									
i. Individual shareholders holding nominal share capital up to Rs. 1 lakh	-	-	-	-	-	-	-	-	-
ii. Individual shareholders holding nominal share capital in excess of Rs 1 lakh	-	-	-	-	-	-	-	-	-
(C) Others (Specify)									

Sub-Total (B)(2)	-								-
Total Shareholding of Promoter = (B) (1) + (B) (2)	-								-
C. Shares held by custodian for GDRs & ADRs	-	-	-	-	-	-	-	-	-
Grand Total (A+B+C)	-	26500000	26500000	100	-	26500000	26500000	100	-

(ii) Shareholding of Promoters:

Sl. No	Shareholder's / Body Corporate Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% of Change during the Year
		No. of Shares	% of total Shares of the Company	% of Shares Pledged /	No. of Shares	% of total Shares of the Company	% of Shares Pledged / encumbered	
1	Novo Nordisk Region International Operational A/S	20	0.0001%	-	20	0.0001%	-	-
2	Novo Investment PTE Limited	26499980	99.9999%	-	26499980	99.9999%	-	-
	Total	26500000	100	-	26500000	100	-	-

(iii) Change in Promoters' Shareholding (please specify, if there is no change): Nil

Sl.No	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	-	-	-	-

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs): NIL

Sl. No	For each of the Top 10 shareholder	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. Of Shares	% of total shares	No. Of Shares	% of total Shares
1	Name:	-	-			-	-
	At the beginning of the year	-	-				
	Changes during the year	-	-	-			
	At the end of the year	-	-				

(v) Shareholding of Directors and Key Managerial Personnel: Nil

Sl. No	Shareholding of each Directors and each Key Managerial Personnel	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of Shares	% of total Shares	No. of Shares	% of total Shares
1	Name:	-	-	-	-	-	-
	At the beginning of the year	-	-	-	-	-	-
	Changes during the year	-	-	-	-	-	-
	At the end of the year	-	-	-	-	-	-

V. INDEBTEDNESS: NIL

Indebtedness of the Company including interest outstanding/accrued but not due for payment

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	-		-	
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	-		-	
Change in Indebtedness during the financial year				
Addition			-	

Reduction		-	-	-
Net Change				
Indebtedness at the end of the financial year				
i) Principal Amount				
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	-	-	-	-

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL:

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

Sl.No	Particulars of Remuneration	Name of MD/WTD/Manager				Total Amount
		Melvin Oscar D'souza Managing Director	Ashok Rao Bommena	Vikrant Shrotriya Managing Director		
1	Gross salary					
	a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	2,64,48,386	87,27,893	87,75,262	-	4,39,51,541
	b) Value of perquisites u/s 17(2) Income-tax Act, 1961	18,96,272	85,800	-	-	19,82,072
	c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-	-	-
2	Stock Option	-	-	-	-	-
3	Sweat Equity	-	-	-	-	-
4	Commission - as % of profit - others, specify...	-	-	-	-	-
5	Others, please specify	-	-	-	-	-
Total (A)		2,83,44,658	88,13,693	87,75,262	-	4,59,33,613
Ceiling as per the Act		-	-	-	-	-

Note : Melvin Oscar D'souza ceased as Managing Director with effect from 3rd January, 2020 and Vikrant Shrotriya was appointed as Managing Director with effect from 4th January, 2020

B. Remuneration to other Directors:

Sl.No	Particulars of Remuneration	Name of Directors	Total Amount
1	Independent Directors	-	-
	Fee for attending board / committee meetings		

	Commission	-	-
	Others, please specify	-	-
	Total (1)		-
2	Other Non - Executive Directors		-
	Fee for attending board / committee meetings	-	-
	Commission	-	-
	Others, please specify		-
	Total (2)	-	-
	Total(B) =(1+2)	-	-
	Total Managerial Remuneration (A+B)		
	Overall Ceiling as per the Act	-	-

C. Remuneration to other Directors key managerial personnel other than MD/MANAGER/WTD: NIL

Sl.No	Particulars of Remuneration	Name of Key Managerial Personnel			Total Amount
		CEO	CFO	CS	
	Name				
	Designation				
1	Gross salary	-			-
	a) salary as per the provision contained in the section 17(1) of Income Tax Act 1961	-			-
	b) Value of Perquisites under section 17 (2) Income Tax Act 1961	-			-
	c) Profits in lieu of Salary under section 17(3) Income Tax Act 1961	-			-
2	Stock Option	-			-
3	Sweat Equity	-			-
4	Commission a) As % of Profits b) Others, Specify				-
5	Others please specify	-			-
	Total	-			-

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES: nil

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
A) Company					
Penalty	-	-	-	-	-

Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
B) Directors					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-
C. OTHER OFFICERS IN DEFAULT					
Penalty	-	-	-	-	-
Punishment	-	-	-	-	-
Compounding	-	-	-	-	-

**For and on behalf of the Board of Directors
Novo Nordisk India Private Limited**

Place: Bangalore
Date: - 02/11/2020

Sd/-
Vikrant Shrotriya
Managing Director
(DIN: 08635146)

Sd/-
Ashok Rao Bommena
Director
(DIN: 06866030)